

AIPI National Conference 17 March 2023

Setting the Standard – Standards Australia / ISO
Governance Standards and how to leverage them in the
control of fraud and corruption

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Organizational Governance



Overview

Background to AIPI involvement with Standards Australia Governance standards

The role of the Standards Australia Organizational Governance Committee

Overview of structure and key elements of the AS 8001:2021 Fraud and Corruption Control

International and Australian standards in the pipeline

Advantages of adopting standards in the control of fraud and corruption

Background to AS 8001:2021 *Fraud and Corruption Control*



AS 8000:2003
Good Governance
Principles

AS 8001:2021
Fraud and
Corruption Control



AS 8002:2003
Organisational
Codes of Conduct



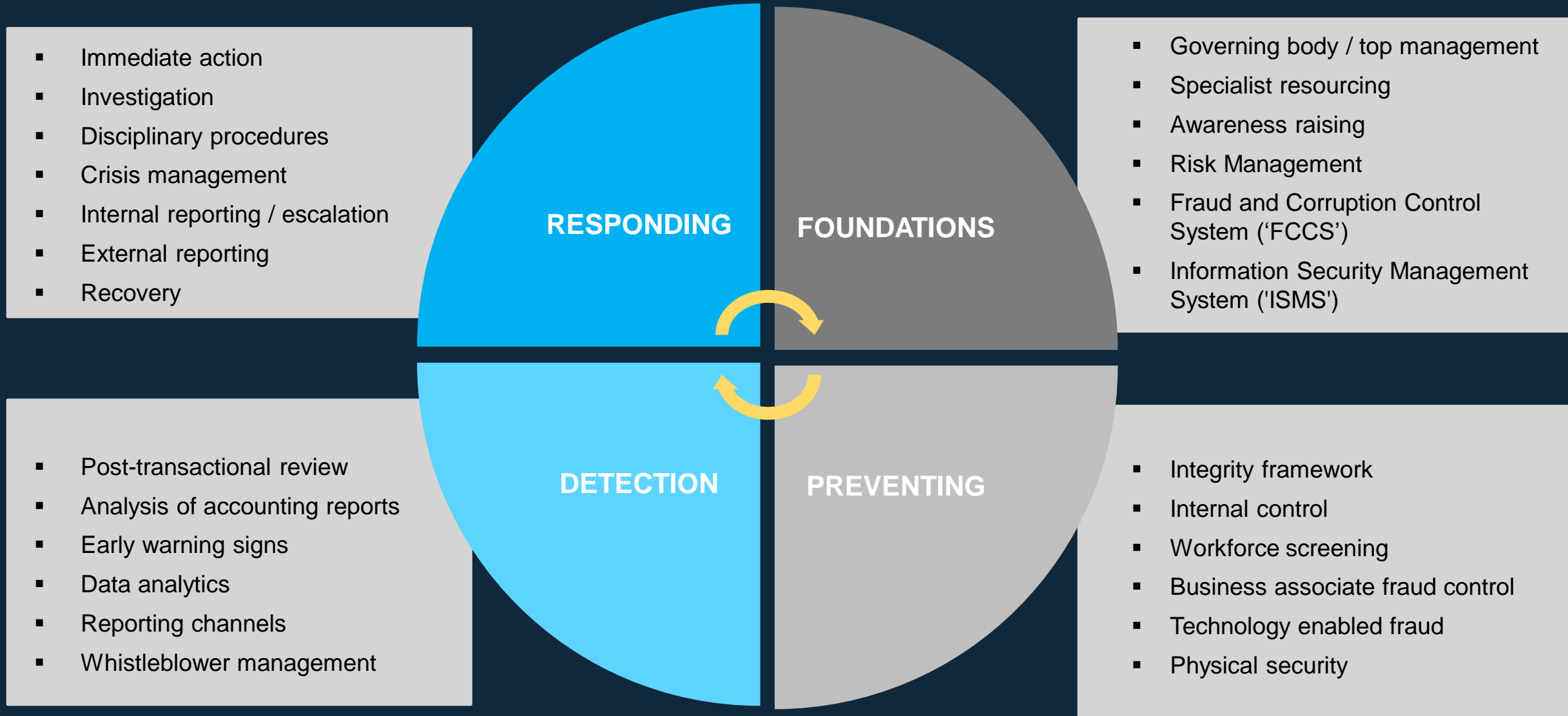
AS 8003:2003
Corporate Social
Responsibility



AS 8004:2003
Whistleblower
Protection Programs



Key elements of AS 8001:2021 Fraud and Corruption Control



APII as a 'Nominating Organisation'

AS 8001:2021

This Australian Standard® was prepared by QR-017, Organizational Governance. It was approved on behalf of the Council of Standards Australia on 26 May 2021.

This Standard was published on 11 June 2021.

The following are represented on Committee QR-017:

- Australian Information Industry Association
- Australian Institute of Company Directors
- Australian Institute of Professional Investigators
- Australian Organisation for Quality
- Consumers Federation of Australia
- GRC Institute
- Griffith University
- Institute of Internal Auditors — Australia
- Institute of Management Consultants
- Joint Accreditation System of Australia & New Zealand
- Law Society of New South Wales
- Office of the NSW Ombudsman

AS 8001:2021



Fraud and corruption control

This is a free 5 page sample. Access the full version online.



Other current standards relevant to control of fraud and corruption

ISO 37000:2021	<i>Governance of Organizations – Guidance</i>
AS ISO 37001:2019	<i>Anti-bribery Management Systems – Requirements with guidance for use</i>
AS ISO 37002:2023	<i>Whistleblower Management Systems – Guidelines</i>
AS ISO 31000:2018	<i>Risk Management – Guidelines</i>
AS ISO 37301:2023	<i>Compliance Management Systems — Requirements with guidance for use</i>
AS ISO/IEC 27001:2015	<i>Information Technology — Security techniques — Information security management systems — Requirements</i>

<https://store.standards.org.au/>

Standards in the pipeline (ISO TC-309)

ISO 37001 (WG2)	Anti-bribery Management System Standard – Requirements with Guidance for use (revision)
ISO 37003 (WG8)	<i>Fraud Control – Guidance</i> (based on AS 8001:2021)
ISO 37008 (WG7)	<i>Internal investigations of Organizations — Guidance</i>
ISO 37009 (WG9)	Conflict of interest — Guidelines



HB 325:2008

Assuring Probity in Decision Making (revision)



Overview of the structure of ISO 37003 Fraud Control Management System

- Currently being developed by WG8 of TC-309
- First proposed November 2018 (Sydney)
- 'NWIP' approved in April 2022
- 8 virtual meetings held 2019 to March 2023
- Publication due November 2024
- Substantially based on AS 8001:2021



Advantages to adopting standards in control of fraud and corruption

- No arguments about what is 'best practice'
- No need to 'reinvent the wheel'
- Implementation of core elements will make a difference (e.g. specialist fraud and corruption control resources, regular risk assessment, whistleblower systems, ISMS)
- Demonstrate to stakeholders that all that can be done is being done

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